

Mail Stop Patent Application  
 Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450



## NEW APPLICATION TRANSMITTAL

37 CFR 1.53(b)

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on August 21, 2003 as "Express Mail Post Office to Addressee" Mailing Label No. EU381349653US in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Sheila E. Kelly

(Name of person mailing paper)

Sheila E. Kelly  
 (Signature of person mailing paper)

Inventor(s) (or application identifier):

Craig D. Tipton, Shreyasi Lahiri, and Mark R. Baker

Title: MULTIFUNCTIONAL DISPERSANTS

1. Type of Application

☒ Original

☐ Continuation

☐ Divisional

☐ Continuation-in-part (CIP)

2. Fee Calculation (37 CFR 1.16)

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$750.00
Total Claims (37 CFR 1.16(c))	26 -20=	X	\$18.00	108.00
Independent Claims (37 CFR 1.16(b))	1 - 3=	X	\$78.00	-0-
Multiple dependent claim(s), if any (37 CFR 1.16(d))			\$260.00	-0-

☐ Amendment canceling extra claims enclosed.

3. Fee Payment Being Made and Authorization to Charge Fees

☒ basic filing fee \$858.00

☒ recording assignment (\$40.00; 37 CFR 1.21(h)) \$ 40.00

☒ Charge Account No. 12-2275 in the amount of \$858.00. A duplicate of this transmittal is attached.

4. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) Application

16 Pages of specification (excl. claims)  
2 Pages of claims

1 Pages of Abstract  
0 Sheets of drawing

5. Additional papers enclosed

☐ Preliminary Amendment

☒ Cited References

☒ Information Disclosure  
Statement (37 CFR 1.98)

☐ Other

☒ FORM PTO-1449

☒ Return postcard receipt

☐ Incorporation by reference  
statement of prev. appln.

6. Declaration or oath

☒ Newly executed and  
enclosed (original or copy)

☐ Unexecuted and  
enclosed (for  
identification)

☐ Copy from prior  
application enclosed (for  
continuation/divisional)

7. Assignment

☒ An assignment of the invention to The Lubrizol Corporation, a corporation of the state of Ohio, 29400 Lakeland Boulevard, Wickliffe, Ohio 44092, and a separate "Cover Sheet for Assignment (Document) Accompanying New Patent Application," are attached.

☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-2275:

☒ 37 CFR 1.16(a)-(e)

☒ 37 CFR 1.17 (application processing fees)

☒ charge any deficiency in the above fee or credit any overpayment to  
Account No. 12-2275

Total fees enclosed \$858.00

Reg. No. 31,664

David M. Shold  
Signature of Attorney/Agent

Tel. No. (440) 347-1601

David M. Shold

Type or print name of attorney/agent

The Lubrizol Corporation  
29400 Lakeland Boulevard  
Wickliffe, OH 44092-2298

8. Benefit of Prior U.S. Application(s) (35 USC 120)

☐ The new application being transmitted claims the benefit of prior U.S. application(s).  
Enclosed find an additional    Added Page For New Application Transmittal Where  
Benefit Of Prior U.S. Application(s) Claimed

☒ Plus an Additional 4 Added Pages For Papers Referred To In Item 5 Above

☒ This transmittal ends with this page.